

02-22-02

Please type a plus sign (+) inside this box



SUBSTITUTE for PTO/SB/1000 (0) Utility Patent Application Transmittal
 Approved for use through 10/31/2002. OMB 0651-0032
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



02/20/02

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	20832Y
First Inventor or Application Identifier	
Title	N-SUBSTITUTED NONARYL-HEYEROCYCLIC NMDA/NR2B ANTAGONIST
Express Mail Label No.	EL920763823US

TEC76117
10/07/9452

02/20/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|--|---|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing) | 6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 205] | a. <input type="checkbox"/> Computer Readable Form |
| 3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets _____]
[Total Pages 205] | b. <input type="checkbox"/> Paper Copy (identical to computer copy) |
| | c. <input type="checkbox"/> Statements verifying identity of above copies |
| ACCOMPANYING APPLICATION PARTS | |
| 4. Oath or Declaration | 7. <input type="checkbox"/> Assignment papers (cover sheet & document(s)) |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy) | 8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney
(when there is an assignee) |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 14 completed) | 9. <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Copies of IDS Citations
(IDS)/PTO-1449 |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b). | 10. <input type="checkbox"/> Preliminary Amendment |
| 5. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 11. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) |
| 12. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) | 13. <input type="checkbox"/> Other: _____ |

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____ / _____

Prior application information: Examinee _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

15. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	Customer No. 000210 (Insert Customer No. or Attach bar code here)			
NAME	Shu Muk Lee			
ADDRESS	Merck & Co., Inc., P. O. Box 2000 - Patent Dept., RY60-30			
CITY	Rahway	STATE	NJ	ZIP CODE
COUNTRY	USA	TELEPHONE	732-594-2675	FAX

Name	Shu Muk Lee	Registration No. (Attorney/Agent)	41,147
Signature		Date	Feb. 20, 2002

EXPRESS MAIL CERTIFICATE

DATE OF DEPOSIT February 20, 2002

EXPRESS MAIL NO. EL920763823US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL "POST OFFICE TO ADDRESSEE" ON THE ABOVE DATE IN AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, D.C. 20231.

MAILED BY DATE 2-20-02

Application Number: To Be Assigned
Filing Date: Herewith
First Named Inventor: Nigel J. Liverton, et al.
Group Art Unit: _____
Examiner Name: _____
Attorney Docket Number: 20832Y

EXPRESS MAIL CERTIFICATE

DATE OF DEPOSIT February 20, 2002
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MAILED BY

Christie Cuffe

DATE

2-20-02

FEE TRANSMITTAL

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Nigel J. Liverton, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	20832Y

METHOD OF PAYMENT (Check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	Utility filing fee	<input type="text" value="740"/>
106	330	Design filing fee	<input type="text"/>
108	740	Reissue filing fee	<input type="text"/>
114	160	Provisional filing fee	<input type="text"/>
SUBTOTAL(1)			<input type="text" value="\$740"/>

2. EXTRA CLAIM FEES

		Extra	Fee from below	Fee Paid
Total Claims	<input type="text" value="52"/>	-	<input type="text" value="20"/>	** = <input type="text" value="32"/>
Independent Claims	<input type="text" value="1"/>	-	<input type="text" value="3"/>	** = <input type="text" value="0"/>
Multiple Dependent Claims				\$280 = <input type="text"/>

***or number previously paid, if greater; For Reissues, see below*

Large Fee Code	Entity Fee (\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 and over original patent
SUBTOTAL(2)		

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	<input type="text"/>
147	2,520	For filing a request for reexamination	<input type="text"/>
115	110	Extension for reply within first month	<input type="text"/>
116	400	Extension for reply within second month	<input type="text"/>
117	920	Extension for reply within third month	<input type="text"/>
118	1,440	Extension for reply within fourth month	<input type="text"/>
128	1,960	Extension for reply within fifth month	<input type="text"/>
119	320	Notice of Appeal	<input type="text"/>
120	320	Filing a brief in support of an appeal	<input type="text"/>
121	280	Request for oral hearing	<input type="text"/>
140	110	Petition to revive - unavoidable	<input type="text"/>
141	1,280	Petition to revive - unintentional	<input type="text"/>
142	1,280	Utility issue fee (or reissue)	<input type="text"/>
143	460	Design issue fee	<input type="text"/>
122	130	Petitions to the Commissioner	<input type="text"/>
123	50	Petitions related to provisional applications	<input type="text"/>
126	180	Submission of Information Disclosure Statement	<input type="text"/>
581	40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149	740	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
179	740	Request for Continued Examination (RCE)	<input type="text"/>
Other fee (specify) _____			<input type="text"/>
Other fee (specify) _____			<input type="text"/>
SUBTOTAL(3)			<input type="text" value="\$0"/>

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Shu Muk Lee			Reg. Number	41,147
Signature		Date	Feb. 20, 2002	Deposit Account User ID	